U.S. Environmental Protection Agency Science Advisory Board Review

The Dioxin Equivalency Subcommittee of the USEPA Science Advisory Board has reviewed and commented on the final draft of this report.

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Preface

As part of its effort to address risks posed by chlorinated dibenzo-p-dioxins and chlorinated dibenzofurans (CDDs and CDFs) in the environment, the U.S. Environmental Protection Agency (EPA) has adopted an interim procedure, based on dioxin "toxicity equivalence" factors (TEFs), for estimating the hazard and dose-response of complex mixtures containing CDDs and CDFs in addition to 2,3,7,8-TCDD. The TEF procedure, and the scientific data upon which it is based, are the subject of this report.

This report, which has been extensively reviewed by EPA and external (non-EPA) experts, was prepared for EPA's Risk Assessment Forum (Forum) and was approved by the EPA Risk Assessment Council in August 1986. In September 1986, the report was reviewed by a special Subcommittee of the Agency's Science Advisory Board (SAB), a congressionally mandated

body of independent scientists.

The SAB Subcommittee concurred with EPA's view that the TEF method is a reasonable interim approach to assessing the health risks associated with exposure to mixtures of CDDs and CDFs for risk management purposes. They noted that the method proposed may lack scientific validity and agreed with EPA on the importance of efforts to validate the method by selected experimental testing of hypotheses. The Agency received strong encouragement to continue research on other approaches to estimating risks for substances in mixtures. The Subcommittee also indicated that it was important that the interim approach be re-evaluated systematically by EPA as lessons are learned from toxicologic research and from application. Lastly, the group cautioned that the interim TEF method should be largely reserved for special situations where the components of the mixture are known, where the composition of the mixture is not expected to vary much with time, and where the extrapolations are consistent with existing animal data. Some aspects of the report have been revised to take the Subcommittee's comments into account.

These SAB comments reinforce EPA's views on the strengths and limitations of the TEF approach. Throughout development of the report, EPA scientists have emphasized that the TEF approach is an interim science policy to be used pending development of more rigorous and scientifically robust approaches, some of which are mentioned in the report. The Agency intends to encourage and to pursue a range of research activities which will both further test the hypotheses that underlie this interim procedure and lead to alternative, more direct approaches to determining the toxicity of CDD and CDF mixtures.

Research on CDDs and CDFs continues at a rapid pace, and the Agency is closely monitoring changes in the data base upon which the TEF approach has been established. Through an annual updating of the approach, the Forum will assure that TEF factors remain current with the existing animal data.

The TEF procedure will be used generally throughout the Agency for situations in which the components of the mixture are known (or can be reasonably anticipated) and where the composition is not expected to vary greatly with time.

On other issues the SAB Subcommittee and other peer reviewers recommended that EPA consider more explicitly the effects of pharmacodynamics (the bioavailability, absorption, distribution, metabolism, and elimination) of relevant environmental mixtures in whole animals when will review these issues and recommend changes in some TEFs, as

In summary, the TEF approach provides a useful interim method for consistently interpreting the significance of CDD and CDF residues in the environment, until more direct methods are available. Users should be aware of the uncertainties associated with the procedure. In addition to the uncertainties inherent in the 2,3,7,8-TCDD quantitative risk assessment, which the TEF approach implicitly adopts, the approach includes the added qualitative assumption that the other CDDs and CDFs will demonstrate the same chronic effects as 2,3,7,8-TCDD. While there are good scientific reasons to expect this to be the case, the data to support this assumption are limited.

The Agency plans to update the TEFs on a regular basis, incorporating additional information as it becomes available so that the approach will reflect the best current scientific thinking. The intent is to replace this interim procedure with a more rigorous approach as research results permit.

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I. Summary

The U.S. Environmental Protection Agency (EPA) is often confronted with the need to determine the risks associated with exposure to materials such as soot, incinerator fly ash, industrial wastes, and soils which contain complex mixtures of chlorinated dibenzo-p-dioxins (CDDs) and chlorinated dibenzo-furans (CDFs).¹ Recognizing the public and toxicological concern generated by these chemicals and the significant gaps in our ability to evaluate the human health potential of these compounds by existing procedures, the CDD/CDF Technical Panel of the Risk Assessment Forum (Forum) is recommending an interim method to aid in the assessment of the human health risks posed by mixtures of CDDs and CDFs until data gaps are filled.

The Technical Panel has reviewed a spectrum of approaches for making such assessments, consistent with EPA's Guidelines for the Health Risk Assessment of Chemical Mixtures, and has concluded that a direct biological assessment of the toxicity of complex mixtures of CDDs and CDFs is preferred. However, a validated bioassay that can plausibly be applied to such mixtures is not now available, although promising research is in progress in the area. An alternative approach involves explicit analysis and toxicological determination of each of the constituent CDD/CDF congeners. The data required for such an approach also need to be developed and are not likely to be generated soon. The Forum therefore concludes that, as an interim science policy measure, a reasonable estimate of the toxic risks associated with a mixture of CDDs and CDFs can be made by taking into account the distribution of CDD/CDF congeners or homologues and the likely relative toxicity of these compounds. This document describes the recommended interim procedure for generating the "2378-TCDD equivalence" of complex mixtures of CDDs and CDFs, based on congener- or homologue-specific data, and for using such information in assessing risk. (The recommendations are summarized in the rightmost column of Table 1.)

The Forum acknowledges that this procedure is not based on a thoroughly established scientific foundation. Instead, the approach represents a consensus recommendation for interim science policy, subject to change as additional data are available. The approach is judged to be applicable to mixtures of CDDs and CDFs, but should not be construed as being applicable as well to mixtures of other chemicals.

The basis of this approach, i.e., the assignment of toxicity equivalence factors (TEFs) is subject to revision as new scientific data become available in the future. Consequently, risk assessors and risk managers are urged to use informed discretion, noting specific problems on a case-by-case basis, when applying the procedure to any particular situation. The Forum urges the support of research to test further the hypotheses that underlie this interim procedure and to develop the preferred approaches.

¹See Appendix A for the nomenclature and conventions used in this paper.

Comments to estimating Helative	Toxicities of BCDD	
	OXIGIDES OF PCDDs and PCDFe	

	Basis/ compound	Swiss*	Grant ^b Olie ^c Commoner⁴	New York State®	Ontario f	FDA ^g	CA ^h	EPA ¹ 1981	EPA ·
	(Basis)	Enzyme		LD ₅₀	Various effects	Various		1301	recommend. Various
	Mono thru di CDDs	0	0			effects			effects
	Tri CDDs	0	Ö	<i>o</i> <i>o</i>	0 1	0 0	0	0	0
	2378-TCDD other TCDDs	1 0.01	1	1	1	1	•	0	0
	2378-PeCDDs		,	0	0.01	ò	ó	1	1 0.01
N	other PeCDDs	0. 1 0. 1	0.1 0.1	1 0	1 0.01	<i>o</i> <i>o</i>	1	0	0.5
	2378-HxCDDs other HxCDDs	0.1 0.1	0.1	0.03	1	0.02	0	0	0.005
	2378-HpCDDs		0.1	0	0.01	0.02	Ö	<i>o</i> <i>o</i>	0.04 0.0004
	other HpCDDs	0.01 0.01	0.1 0.1	<i>o</i> <i>o</i>	1 0.01	0.005 0.005	1	o	0.001
	OCDD	0	0	0	0	<0.0001	0	0	0.00001
	2378-TCDFs other TCDFs	0.1	0.1	0.33	0.02		1	0	0
	2378-PeCDFs	0.1	0.1	0	0.0002	<i>0</i> <i>0</i>	0	<i>0</i> <i>0</i>	0.1 0.001
	other PeCDFs	0.1 0.1	0.1 0.1	0.33 0	0.02 0.0002	0	1 0	0	0.1 0.001

(continued) Table 1.

Basis/ compound	Swiss*	Grant ^b Olie ^c Commoner ^d	New York State®	Ontario f	FDA ^g	CAh	EPA ⁱ 1981	EPA current recommend
(Basis)	Enzyme		LD ₅₀	Various effects	Various effects			Various effects
2378-HxCDFs other HxCDFs	0.1 0.1	0.1 0.1	0.01 0	0.02 0.0002	o	1 0	0	0.01 0.0001
2378-HpCDFs other HpCDFs	0.1 0	0.1 0.1	0 0	0.02 0.0002	0 0	1 0	0	0.001 0.00001
OCDF	0	0	0	0	0	0	0	0

^aSwiss Government, 1982. ^bGrant, 1977. ^cOlie et al., 1983.

^dCommoner et al., 1984. ^eEadon et al., 1982. ^fOntario, 1982.

⁶U.S. DHHS, 1983. ⁶Gravitz et al., 1983. ¹U.S. EPA, 1981.

II. The Need for a Procedure for Assessing the Risk Associated with Exposure to Complex Mixtures of CDDs and CDFs

During the late 1970s, the Agency was faced with assessing the human health significance of exposure to 2,3,7,8-tetrachlorodibenzo-p-dioxin (2,3,7,8-TCDD). In preparation for the cancellation hearings for the herbicides 2,4,5-trichlorophenoxyacetic acid (2,4,5-T) and Silvex, the Agency generated risk assessments for several toxic responses for 2,3,7,8-TCDD. The quantitative cancer risk assessment developed by the Carcinogen Assessment Group was later adapted for use in the Water Quality Criteria (WQC) Document for 2,3,7,8-TCDD (U.S. EPA, 1984a). In addition to carcinogenicity concerns, the WQC document contains an assessment of systemic toxicity based on reproductive effects resulting from exposure to 2,3,7,8-TCDD.

Later, it became clear that exposure situations exist in the country which involve more than 2,3,7,8-TCDD alone. Data on emissions from combustion sources (e.g., hazardous waste and municipal waste incinerators) and contents of waste from certain industrial production processes indicate that the majority of the 75 CDDs and 135 CDFs can be detected in the environment.

In recent years, the reporting of at least homologue-specific data for the CDDs and CDFs has become commonplace, and the Agency has taken some steps to address the significance of these findings. For example, the Health Assessment Document for Polychlorinated Dibenzo-p-Dioxins, prepared for the Office of Air Quality Planning and Standards (U.S. EPA, 1985b), contains a quantitative risk assessment for a mixture of hexachlorodibenzo-p-dioxins (HxCDDs) based on carcinogenicity studies conducted by the National Cancer Institute. These concerns have also led to regulatory action; e.g., several industrial wastes containing tetra-, penta-, and hexa-chlorodioxins, and dibenzofurans were recently designated by the Agency as EPA hazardous wastes.

Faced with increasing amounts of isomer- and homologue-specific data, and recognizing the significant potency and structure-activity relationships exhibited in *in vivo* and *in vitro* studies of CDDs and CDFs, the Technical Panel perceives a need to address more generally the potential risks posed by the congeners other than 2,3,7,8-TCDD and the mixture of HxCDDs.² Detailed consideration of the toxicity of the vast majority of the CDDs and CDFs is limited by the lack of a complete toxicological data base on most of the congeners. Further, it is unlikely that many long-term test results will be available soon. For example, research on 2,3,7,8-TCDD has been under way for more than two decades at an estimated cost of more than one hundred million dollars. Although this chemical has been investigated to a much greater extent than any of the other CDDs and CDFs, unanswered questions remain. Therefore, the Forum believes that an interim science policy position should be adopted for use in assessing risks associated with CDD/CDF mixtures, until more definitive scientific data are available.

III. Approaches to Hazard Assessment for CDD/CDF Mixtures

A. The Ideal Approach—Long-Term, Whole-Animal Toxicity Assay of Mixtures

Under ideal conditions, an assessment of the toxicity of a mixture of chemicals is best accomplished by direct evaluation of its toxic effects, e.g., by determining the effects of chronic exposure in an experimental animal (U.S. EPA, 1985a). Such an assessment is time-consuming and costly and would theoretically have to be performed for each of the many mixtures of environmental importance. Therefore, this idealized approach would cause unacceptable delays in addressing the potential health risks associated with exposures to CDD/CDF mixtures.

Long-term animal studies might be considered for some categories of CDD/CDF sources which have characteristic compositions; e.g., emission from some combustion sources. However, the need for an interim approach would remain.

B. A Promising Approach—Short-Term, Biological Assay of Mixtures

An alternative, and perhaps more achievable, approach to hazard assessment of a mixture is a short-term assay (in vivo or in vitro) that indirectly provides a measure of the mixture's potential toxicity. In the case of mixtures containing CDDs and CDFs, short-term assays are under development that directly determine the 2,3,7,8-TCDD-like response which could be used as a measure of the toxicity of the mixture as a whole. Such assays take advantage of the similar toxic end points induced by CDDs and CDFs, and have been used to assess the potential health hazards of exposure to CDD/CDF-contaminated soot from PCB fires (Eadon et al., 1982; Gierthy and Crane, 1984; Gravitz et al., 1983), and for predicting the potential toxicity of incinerator fly ash (Rizzardini et al., 1983; Sawyer et al., 1983).

Although the development of such "mixture assays" is progressing rapidly (e.g., Safe et al., 1985), additional work is required to more fully validate the assay findings for specific toxic end points, especially chronic effects, and aspects of pharmacokinetics need to be considered. The Forum, recognizing the importance of short-term assays, encourages research in this area.

C. A Reductionist Approach—Additivity of Toxicity of Components

In the absence of a fully developed "mixture assay," the components in a mixture of CDDs and CDFs could theoretically be identified and quantified by analytical chemists. Then the toxicity of the mixture could be estimated by adding the toxicity contributed by each of its components. In the case of most environmental mixtures, however, this method would be of limited value since congener-specific analyses for the 75 CDDs and 135 CDFs potentialy present in the mixture are seldom available. In addition, there

In the early 1980s, the Agency developed a method for an approximate assessment of the risks of the emission of CDDs and CDFs associated with the high-temperature incineration of PCBs and combustion of municipal waste (U.S. EPA, 1981; U.S. EPA, 1982); see Table 1. The procedure presented in this document is a refinement of that approach. A comparison of a variety of methods is included in Appendix 8.

 is little informmation available on the toxic potency of most of these congeners. Therefore, this approach is not viable at this time; nor is it likely to be feasible in the near future.

D. An Interim Approach—2378-TCDD Toxicity Equivalence Factors (TEFs)

The Forum recommends a fourth alternative for estimating the risks associated with exposure to complex mixtures of CDDs and CDFs. In this approach, as in approach C above, information is obtained on the concentrations of homologues and/or congeners present in the mixture. Then, using the available toxicological data and reasoning on the basis of structure-activity relations, the significance of the exposure to each of the components is estimated and expressed as an "equivalent amount of 2378-TCDD." Combining this information with hazard information on 2,3,7,8-TCDD, and assuming additivity of effects, the risks associated with the mixture of CDDs and CDFs can be estimated if exposure is known. Key to the approach are the 2378-TCDD Toxicity Equivalence Factors (TEFs) which are derived in Section IV.

The general approach using TEFs as outlined here is not unique; several organizations have used similar approaches (see Table 1).

At one extreme, all CDDs and CDFs could be assumed to be as toxic as 2,3,7,8-TCDD (all TEFs = 1). This position is not recommended since the limited long-term data (2-year cancer bioassays) on 2,3,7,8-TCDD and a mixture of 2378-HxCCDs (and the greater body of short-term data on many CDDs and CDFs) indicate that such an assumption is overly conservative. At the other extreme one could totally ignore the presence of CDDs and CDFs other than those for which adequate long-term data are available (most TEFs = 0). This position is not recommended in light of the similar toxic properties of several of these compounds and the structure-activity relationship demonstrated for effects resulting from less than lifetime exposures.

Instead, the Forum recommends that the TEF procedure presented in Section IV be adopted as a matter of science policy on an interim basis, subject to revision as new experimental data become available. Based on the available scientific information, the Forum believes that this approach represents an appropriate means of approximating the potential risk of exposure to mixtures of CDDs and CDFs for purposes of risk management.

The approach will enable the Agency to deal with many, but not all, of its problems; e.g., assigning priority to Superfund sites, estimating the extent to which a hazardous waste site should be cleaned up, guiding decisions on which manufacturing wastes can be delisted as EPA hazardous wastes, and estimating risks associated with the emission of CDDs and CDFs from combustion sources.

The remainder of this document discusses the TEF approach in greater detail, illustrates its use in risk assessment, and identifies additional research, the results of which would provide information for adjustments to this interim approach.

IV. The 2378-TCDD Toxicity Equivalence Factors (TEFs) Approach to Assessing the Toxicity of Complex Mixtures of CDDs and CDFs

2,3,7,8-TCDD is one of 75 CDDs. Exceptionally low doses of this compound elicit a wide range of toxic responses in many animals, e.g., adverse reproductive effects, thymic atrophy, and a "wasting syndrome" leading to death. Although the Agency prefers definitive human evidence when assessing the potential human carcinogenicity of chemicals, such data are rarely available and are lacking in the case of CDDs and CDFs period. However, EPA's Carcinogen Assessment Group (CAG) has determined that, based on demonstrated effects in animals, there is sufficient evidence to regard 2,3,7,8-TCDD and a mixture of two 2378-HxCDDs as probable human carcinogens. The CAG quantitative assessment indicates that these chemicals are among the most potent animal carcinogens evaluated by the Agency to date. Limited data suggest that some of the other CDDs may have other toxic effects similar to those of 2,3,7,8-TCDD, again at very low doses.

Moreover, these toxicity concerns are not restricted to CDDs. Limited experimental data, supplemented by structure/activity relationships in *in vitro* tests that are correlated with *in vivo* toxic effects of CDFs, indicate that some of these compounds exhibit "2,3,7,8-TCDD-like" toxicity (Bandiera et al., 1984; Okey et al., 1984; Safe et al, 1985).

The biochemical mechanisms leading to the toxic response resulting from exposure to CDDs and CDFs are not known in detail. However, experimental data have accumulated which suggest that an important role in the development of systemic toxicity resulting from exposure to these chemicals is played by an intracellular protein, the Ah receptor, the putative product of a gene locus designated Ah. This receptor binds halogenated polycyclic aromatic molecules, including CDDs and CDFs. It has been postulated that the Ah locus controls several pleiotropic responses: a limited, but widely expressed gene complex that includes the structural genes for aryl hydrocarbon hydroxylase (AHH) expression, and, in a few organs, such as skin and thymus, a second gene complex regulating cell proliferation and differentiation (Knutson and Poland, 1980; Neal et al., 1982; Greenlee et al., 1985a).

In several mouse strains, the expression of toxicity of 2,3,7,8-TCDD-related compounds, including cleft palate formation, liver damage, effects on body weight gain, thymic involution, and chloracnegenic response, has been correlated with their binding affinity for the Ah receptor, and with their ability to induce several enzyme systems, some of which have been linked to the expression of carcinogenicity (Poland and Knutson, 1982; Bandiera et al., 1984; Madhukar et al., 1984; Poland et al., 1985; Safe et al., 1985; Vickers et al., 1985). Structure-activity studies also link the enhanced *in vitro* cell differentiation caused by these compounds to the presence of the Ah receptor (Greenlee et al., 1985b).

However, it has also been noted that the cytosolic receptor binding alone may not be the sole determinant of the capacity for AHH induction (Neal, 1985; Okey and Vella, 1984). In interspecies comparisons there are poor correlations between the concentration of cellular Ah receptor, its ability to bind 2,3,7,8-TCDD and AHH induction (Denison and Wilkinson, 1985; Gasiewicz and Rucci, 1984; Neal, 1985); and in the mouse the development

of TCDD-induced liver toxicity cannot be ascribed solely to the presence of the Ah receptor (Greig et al., 1984).

A recent review concludes that although there are inconsistencies across species in the Ah receptor's being the sole mechanism of toxicity of CDDs and CDFs, the data suggest that the binding of these compounds to the receptor is in some way related to some of the biological effects seen in experimental animals (Neal, 1985).

Table 2 summarizes information on a variety of end points elicited by CDDs and CDFs: acute toxicity, carcinogenicity, reproductive effects, receptor, binding, enzyme induction, and in vitro cell transformation. For ease of comparison, the data are normalized to unity for 2,3,7,8-TCDD. For example, 2378-HxCDDs have about 5% the Ah receptor binding strength of 2,3,7,8-TCDD. Their reproductive toxicity and carcinogenic potency are, respectively, about 1% and 4% that of 2,3,7,8-TCDD. Kociba and Cabey (1985) recently presented similar data.

The structure/activity generalizations based on the data in Table 2 support the generalizations in the literature concerning the congeners that are most likely to be of toxic concern (Poland and Knutson, 1982; Gasiewicz and Rucci, 1984; Bandiera et al., 1984). That is, congeners that are substituted in the lateral 2,3,7 and 8 positions are likely to exhibit toxic effects at lower doses than other congeners. This includes the 15 tetra-, penta-, hexa- and heptachlorinated CDDs and CDFs listed in Table 3.3

The "2378-TCDD equivalence factors" (TEFs) listed in Tables 1 and 3 were assigned using several criteria.

- 1. Definitive data on human carcinogenicity.
- 2. In the absence of definitive data on human carcinogenicity, information on carcinogenic potency is based on long-term animal studies which takes precedence over any other data.
- When carcinogenic activity has not been demonstrated, data on reproductive effects become determinative because of the significance of this end point in humans. In addition, the estimated exposure levels potentially resulting in reproductive and carcinogenic effects are similar.
- 4. When neither carcinogenic nor reproductive effects have been demonstrated, the weight of the evidence of the in vitro test data is estimated. To simplify the approach and to acknowledge the approximate nature of the approach, these estimates are rounded off to the nearest order of magnitude. Somewhat more weight is placed on data from receptor binding interaction and oxidative enzyme

Potencies of Dioxins Relative to 2,3,7,8-TCDD

N

					Enzyme	Enzyme Induction	u			
	Guinea		Reproductive/		AHH		EROD		Flat (XR)	lmmino.
Chemical	pig LD ₅₀	Carcino- genicity		Receptor binding	Animal cells	Human cells		Cell keratin.	cell assay	toxicity in vitro
CDDs:										
Mono thru tri <10-4	<10-40	!	1	.00101	<.001	ł	ł	.01	1	.005p
2378-TCDD TCDDs	1° <.001°	10	1c, 2,001	1° <.0116°	1° <.001029	#	91	1° <.00101°	7	401
. 2378-PeCDD PeCDDs	.67• .002•		1 1	•	.0229 <.0019				11	11
2378-HxCDDs HxCDDs	.03*	<i>§</i> +	.010.	.05	.00119 <.0019	11	1 1	.005	1 1	11
2378-HpCDDs HpCDDs	.004*	11	1 1		.0020049.1	11				
ааэо	1	}	<.00001*	}	<.001	1	ł	ļ	!	1
CDFs:										
Mono thru tri	1	ļ	1	≤.00102 ^{4,h}	<.001	<.001	1	.001		1
2378-TCDF	.28; .5	!	.0313 ^{i,k}	.3°; .24h; .41 .014 ^{t,h,m}	.014f.h.m	4 .	.14	.00	,t:	10, 10

The Technical Panel is aware that some investigators (e.g., Grant, 1977; Olie et al., 1983; Commoner et al., 1984; and Ontario, 1982, 1984) have broadly defined congeners of concern to include those tri- to hepta- congeners which are substituted with at least three chlorines in the four lateral (2, 3, 7, and 8) positions. The toxicity data (Table 2) do not strongly support this extended range of concern. Further the increased level of complexity invoked by including these additional congeners suggests a greater level of accuracy and resolution than the Technical Panel believes is presently warranted by the TEF approach.

The Technical Panel is also aware that receptor binding data suggest a relatively high potential toxicity for 1.2.4,6,7-PeCDF. Examination of stereochemical models shows that the 4 and 8 positions of CDFs exhibit partial overlap with the lateral chlorine groups of 2,3,7,8-TCDD (Bandiera et al., 1984). However, this increased receptor binding activity is not reflected in an increased potency of 1,2,4,8,7-PeCDF as an enzyme inducer (see Table 2), an end point which has been shown to correlate with subchronic toxicity (Safe et al., 1985). Therefore, the Technical Panel is treating 1,2,4,6,7-PeCDF as a "non-2378-congener" at this time; however, additional data could lead to a change in this position.

^{1,2,3,6,7-} and 2,3,4,6,7-PeCDF are almost as potent as 2378-PeCDF in the induction of AHH activity in human lymphoblastoid cells in vitro (see Table 2). However, because this assay seems to yield relative potencies that do not agree with other short-term tests, and because doseresponse data are not available for this assay, these data are not included in the overall evaluation at the present time.

• •						Enzyme	Enzyme Induction	U		- i	
						AHH		EROD		Flat (XB)	lmmur
	Chemical	Guinea pig LD _{so}	Carcino- genicity	neproductive/ Carcino- teratogenic genicity effects	Receptor binding	Animal cells	Human cells		Cell keratin.	cell assay	toxici in viti
-	TCDFs		1	1	.001054.	≤.001 ^d ; .04 ^m	.	≥.005 ^d	ł	1	1
,	2378-PeCDF	ł	1	1	.13d; .7°; .6" <.3d; .4"	<.3 ^d ; .4 ^m	.	.19	ł	ł	1
	12467-PeCDF PeCDFs	1 1		11	.15 ^h .0011 ^{d.} e	.002 ^h ≤.001-2 ^{d,n,m}	₽ .	<.001 ^h s.001 ^h		1 1	11
10	2378-HxCDFs HxCDFs	.017*	11	1 1	.045 ^{e.h} .001 e.h	.052 ^{h,m} .001 ^m ,.002 ^h	ę.	.15 ^h .006 ^h			
	2378-HpCDFs HpCDFs				 <:001 ^h	.0048 <.001	1 1			11	
	*McKinney an	d McConn	iell, 1982; A	*McKinney and McConnell, 1982; Moore et al., 1979.		Bradlaw et al., 1979.	979.		Poland et al., 1979.	et al., 19 ma et al	79. 1985a

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Isomer	TEF	Isomer	TEF
2,3,7,8-TCDD	1	2,3,7,8-TCDF	0.1
1,2,3,7,8-PeCDD	0.5	1,2,3,7,8-PeCDF 2,3,4,7,8-PeCDF	0.1 0.1
1,2,3,4,7,8-HxCDD 1,2,3,7,8,9-HxCDD 1,2,3,6,7,8-HxCDD	0.04 0.04 0.04	1,2,3,4,7,8-HxCDF 1,2,3,7,8,9-HxCDF 1,2,3,6,7,8-HxCDF 2,3,4,6,7,8-HxCDF	0.01 0.01 0.01 0.01
1,2,3,4,6,7,8-HpCDD	0.001	1,2,3,4,6,7,8-HpCDF 1,2,3,4,7,8,9-HpCDF	0.001 0.001

^aIn each homologous group, the relative toxicity factor for the isomers not listed above is 1/100 of the value listed above.

bTEF = Toxicity Equivalence Factor = relative toxicity assigned.

induction, due to the correlations between these in vitro end points and certain in vivo systemic efforts; e.g., thymic atrophy and body weight

loss. The above criteria were applied as described below.

- Since the primary concern is with chronic effects, the relative carcinogenicity response (Table 2) for 2,3,7,8-TCDD and the mixture of two 2378-HxCDDs4 were used to generate the TEF for 2378-PeCDD. The TEF for 2378-PeCDD (0.5) is the arithmetic mean of the carcinogenic potency values for 2,3,7,8-TCDD (1) and 2378-HxCDDs (0.04). Data on receptor binding, enzyme induction, and cell keratinization generally support this values.
- 2. 2,3,7,8-TCDF is assigned a TEF of 0.1 primarily because it is 1 to 2 orders of magnitude (OMs) less potent than 2,3,7,8-TCDD in reproductive toxicity tests. Also, it is about one OM less potent than 2,3,7,8-TCDD in the in vitro tests.
- 3. The 2378-PeCDF congeners are assigned a TEF of 0.1 due to the responses seen in in vitro tests. Greater reliance was placed on the animal enzyme induction studies due to the more significant correlations observed between this end point and subchronic responses than have been observed with the receptor binding end point. The human cell data were accorded less weight because these experiments were conducted at only one exposure concentration.
- 4. Because in vitro data in general show HxCDFs to be about one tenth as potent as PeCDFs, their TEF is assigned a value of 0.01 (0.1/10). Further, the data generally suggest that CDFs are somewhat less toxic than the analogous CDDs. Therefore, the TEF for 2378-HxCDFs should be less than that of the 2378-HxCDDs (0.04).
- 5. The 2378-HpCDDs and 2378-HpCDFs are assigned TEFs 3 OM less than that for 2,3,7,8-TCDD because the enzyme induction potencies of these congeners differ from that of 2,3,7,8-TCDD by about this factor.

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⁴See Appendix A, item 6, for explanation of notation.

1 to 2 OMs less potent than the 2378-substituted isomers. Since these data are limited to *in vitro* systems, a factor of 0.01 is applied to the non-2378-substituted, as compared to the 2378-substituted congeners. With the exception of 2,3,7,8-TCDD, the 2378-HxCDDs, and 2378-TCDF, the TEFs are not based on the results of major animal (reproductive,

carcinogenic) studies. Generally, TEFs are based on estimates of the relative toxicity in *in vitro* tests whose relationship to the chronic effects of concern is largely presumptive. However, as discussed above, studies on systemic effects continue to reinforce the view that the short-term assays provide important fundamental information on the toxicity of the CDDs and CDFs.

In summary, the Forum concludes that there is a sufficiently plausible basis for the TEF approach of estimating risks associated with exposures to CDDs and CDFs and recommends that the Agency adopt the approach, on an interim basis, as a matter of science policy. The TEFs should be revised as additional scientific information is developed. It should be noted that this general approach to estimating such CDD/CDF risks has been taken by other regulatory groups (see Table 1 and Appendix B).

V. Applications to Risk Assessment

In general, as assessment of the human health risk of a mixture of CDDs and CDFs, using the TEF approach, involves the following steps:

- 1. Analytical determination of the CDDs and CDFs in the sample.
- 2. Multiplication of congener concentrations in the sample by the TEFs in Table 1 to express the concentration in terms of 2378-TCDD equivalents.
- 3. Summation of the products in step 2 to obtain the total 2378-TCDD equivalents in the sample.
- 4. Determination of human exposure to the mixture in question, expressed in terms of 2378-TCDD equivalents.
- Combination of exposure from step 4 with toxicity information on 2,3,7,8-TCDD (usually carcinogenicity and/or reproductive effects) to estimate risks associated with the mixture.

In cases in which the concentrations of the 15 congeners are known:

2378-TCDD Equivalents = Σ (TEF of each 2378-CDD/CDF congener × the concentration of the respective congener) + Σ (TEF of each non-2378-CDD/CDF congener × the concentration of the respective congener)

Samples of this calculation for several environmental mixtures are provided in Table 4.

In cases where only the concentration of homologous groups is known, i.e., no isomer-specific data are available, different approaches are possible. For example, the assumption that the 2378-congeners of concern constitute all of the CDDs and CDFs present in the mixture is likely to provide an upperbound, most conservative estimate of the toxicity. Alternatively, one could assume that the occurrence of each of the congeners in the mixture has equal probability (Olie et al., 1983; Commoner et al., 1984). For instance 2,3,7,8-TCDD is one of 22 possible TCDDs and would constitute about 4% of a mixture of isomers occurring with equal probability. In other situations particular knowledge of chemical reaction parameters, process conditions, and results from related studies (e.g., congener distributions in emissions form combustion sources) might enable one to estimate the relative occurrence of 2378-congeners. However, one must be careful to explicitly explain and justify whatever assumptions are made. Table 5 illustrates the results obtained using different methods to estimate the proportion of 2378 to non-2378 isomers in the absence of analytical data for individual isomers.

The calculated 2378-TCDD equivalents can then be used to assess the health risk of a mixture. As an explicit example, consider a municipal solid waste (MSW) combustor whose particulate emissions, the CDD/CDF mixture in question, are the same as the electrostatic precipitator (ESP) catch cited in columns 5 and 6 of Table 4. The sample is estimated to contain 32 ppb 2378-TCDD equivalents; i.e., 32 picograms of 2378-TCDD equivalents per milligram of mixture. Suppose that an exposure analysis indicates that a person living downwind from the incinerator receives an average daily dose of 1 ng of the mixture/kg body weight resulting from inhalation (i.e., without consideration of other possible routes of exposure). This exposure estimate is combined with the upper-bound carcinogenic potency of 2,3,7,8-TCDD (1.6 × 105 per mg/kg-day [U.S. EPA, 1984b]) to generate the upper 95%

		St. L		ESP (sedin	nent ^e	Milorg	oz ianite ^d	Ont	ıvısvv II ario		sloʻ
Isomer	TEF	CDD/F conc.	TCDD eqts.	CDD/F conc.	TCDD eqts.	CDD/F conc.	TCDD eqts.	CDD/F conc.	TCDD eqts.	CDD/F conc.	TCDD eqts. pt)	CDD/F conc.	TCI eq pt)
TCDDs	1	0.2	0.2	5	5	0	0	206	206	541	541	ND	
PeCDDs	0.5	1	0.5	10	<i>5</i>	0.1	0.05		200	467	234	11	5.Ł
HxCDDs	0.04	1.2	0.048	160	6.4	0.34	0.014	2768	110.7	59 1	24	51	2
HpCDDs	0.001	25	0.025	120	0.12	0.5	0.001	7600	7.6	434	0.43	119	0.1
OCDD	0	170	0	260	0	1.3	0	60000	ö	467	0.40	186	0.1
TCDFs	0.1			40	4	0.13	0.013						· -
PeCDFs	0.1			80	8	0.14	0.014						_
HxCDFs	0.01			280	2.8	0.38	0.004						
HpCDFs	0.001			160	0.16	1.13	0.001						
OCDF	0			40	0	0.14	0					·	
Total TCE	DD eqts.		0.08		32		0.10		324		799		7.3

Table 4	4	conti	nued)

				radation ectric flui			Japane:	se MSW	6	(Comme	rcial CPs	3	Soot f	
		Ru 8-13			un 1 ASKL	Pt. A	A TEF	Pt. I	B TEF	2461	Г СР °	PC	pc		
Isomer	TEF	CDD/F conc.	TCDD eqts. g)	conc.	TCDD eqts.	CDD/F conc.	TCDD eqts. b/MMBT	CDD/F conc. U(×10 ⁻	TCDD eqts.	CDD/F conc. (pp	TCDD eqts. om)	CDD/F conc. (pp	TCDD eqts. om)	CDD/F conc. (pp	TC eq om)
TCDDs															
2378 other	1 0.01	0	0	0	0	0.1	0.1	0.58	0.58	<0.1		<0.1		0.6 0.6	0. 0.
PeCDDs															
2378 other	0.5 0.002	0	0	0	0	0.07	0.035	0.47	0.24	<0.1		<0.1		2.5 2.5	1. 0.
HxCDDs															
2378 other	0.04 0.0004	0	0	0	0	0.04	0.002	0.36	0.014	<1		2.5	0.1	1.1 3.6	0.
HpCDDs														_	
2378 other	0.001 0.00001	0	0	330	0.33	0.02	< 0.001	0.08	<0.001	<1		175	0.18	3 4	
OCDD	0	0	0	<i>37</i>	0	0.01	0	0.04	0	<1	0	<i>500</i>	0	2	0

		fro	m diele	ctric flui	ds*		Japanese	MSW			.011111101				
				Rt 8-30-61	un 1 ASKL	Pt. A	\ TEF	Pt. E	TEF	2461		PC		CDD/F	TCDD
Isomer	TEF	CDD/F conc.	TCDD eqts.	CDD/F conc.	TCDD eqts.	CDD/F conc.	TCDD eqts. (Ib/MMB	CDD/F conc. TU(10 ⁻⁶	TCDD eqts.)]	CDD/F conc. (pp	TCDD eqts. om)	CDD/F conc. (pp	TCDD eqts. om)	conc.	eqts.
TCDFs 2378	0.1	690	69	1400	140	1.31	0.131	1.25	0.125	1.5	0.15	<0.1		12 16	1.2 0.01
other PeCDFs 2378	0.001	43	4.3	6400	640	0.38	0.038	0.46	0.046	17.5	1.75	<0.1		358 312	35.8 0.3
other HxCDFs 2378	0.001	7	0.07	910	9.1	0.06	0.006	0.06	0.006	36	3.6	<0.3		670 29 5	6.7 0.03
other HpCDFs 2378	0.0001	0	0	29	0.029	0.01	<.001	0.02	<.001	4.8	0.005		0.019	172	0.29 0
other OCDF	0.00001 0	0	0	3.4	0	0.004	0	0.01	0	<1	0	25	0	40	0 46
Total TO	DD eqts.		73		789		0.3		1.02		<i>5.5</i>	- -	0.3		

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*U.S. EPA, 1984c. bCooper Engineers, 1984.

^cRappe, 1984 ^dLamparski et al., 1984. •Czuwa and Hites, 1984.

^fTong et al., 1984. ^gDes Rosiers, 1984.

Use of the TEF Approach Table 5.

				PCB fil	re soot ^e				i	MSW f	ly as	ih ^b			
							•		Sample 1			Sŧ	mple	2	
			CDD/F		TCDD eq			CDD/F		eqts.		CDD/F		rCDD eqts. (ppb)	
•	TEF	Propn. factor	conc. (ppm)	Ac	Bc	C°	De	. conc. (ppb)	Ac	Bc	D¢		Ac	Bc	D'
Isomer		180001						85	85			2.7	<i>2.</i> 7		
Total TCDDs	1	1	1.2	1.2	0.2	0.6		85		4.3		2.7		0.1	
2378 TCDDs	1	0.05	1.2		0.2 d			8 5		0.8		<i>2.</i> 7			
other TCDDs	0.01	0.95	1.2					00		-					
		•	5 0	2.5				213	107			6.6	3.3		
Total PeCDDs	0.5	1	5.0	2.5	0.2	1.3		213		7.0		6.6		0.2	
2378 PeCDDs	0.5	0.07	5.0		U.Z			213		1.0		6.6			
other PeCDDs	0.005	0.93	5.0					2.0							
		4	4.7	0.2				354	14.2			11.6	0.5		
Total HxCDDs	0.04	,	4.7	0.2	0.1			354		4.3		11.6		0.1	
2378 HxCDDs	0.04	0.3						354		0.1		11.6			
other HxCDDs	0.0004	0.7	4.7					•••							
			7					184	0.2			5.7			
Total HpCDDs	0.001	1	7					184		0.1		5.7			•
2378 HpCDDs	0.001	0.5	7					184				5.7			•
other HpCDDs	0.00001	0.5	,		_										
		•	28	2.8				209	20.9			7.0	0.7		
Total TCDFs	0.1	,		2.0	0.1	1.2		209		0.6		7.0			•
2378 TCDFs	0.1	0.03	28					209		0.2		7.0			•
other TCDFs	0.001	0.97	28												

				PCB	fire soot	a				MSW	fly &	ish ^b	=	
			*****		_		_		Sample	1		S	amp	le 2
		Propn.	CDD/F conc.		TCDD (ppi			CDD/F		DD əqts (ppb)	<u>.</u>	CDD/F	•	TCDD eqts. (ppb)
Isomer	TEF	factor	(ppm)	Ac	Bc	Cc	De	(ppb)	Ac	Bc	D¢	(ppb)	Ac	Bc
Total PeCDFs	0.1	1	670	67				549	54.9			17.8	1.8	
2378 PeCDFs	0.1	0.07	670		4.7	35.8		549		3.8		17.8		0.1
other PeCDFs	0.001	0.93	670		0.6	0.3		549		0.5		17.8		
Total HxCDFs	0.01	1	965	9.7				1082	10.8			32.1	0.3	
2378 HxCDFs	0.01	0.25	<i>965</i>		2.4	<i>6</i> .7		1082		<i>2</i> .7		32.1		0.1
other HxCDFs	0.0001	0.75	<i>965</i>		0.1			1082		0.1		32.1		
Total HpCDFs	0.001	1	460	0.5				499	0.5			10.9		
2378 HpCDFs	0.001	0.50	460		0.2	0.3		499		0.2		10.9		
other HpCDFs	0.00001	0.50	460					499				10.9		
Total estimated TC	DD equivaler	nts (TEF)		84	8	46			294	26			9	1
Measured TCDD E	quivalents													
AHH bioassay	•										4			
EROD bioassay														
Receptor binding	a assay										5 32			
Acute toxicity bi							58							

Table 5. (continued)

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Isomer	TEF	Propn. factor	MSW fly ash ^b							
			Sample 3				Sample 4			
			CDD/F conc. (ppb)	TCDD eqts. (ppb)			CDD/F conc.	TCDD eqts.		
				A ^c	Bc	D°	(ppb)	Ac	Bc	
Total TCDDs	1	1	12.9	12.9			2.4	2.4		
2378 TCDDs	1	0.05	12.9		0.6		2.4	2.4	0.1	
other TCDDs	0.01	0.95	12.9		0.1		2. 4 2.4			
					0.1		2.4			
Total PeCDDs	0.5	1	37.5	18.8			7.9	4.0		
2378 PeCDDs	0.5	0.07	37.5	70.0	12			4.0		
other PeCDDs	0.005	0.93	37.5		1.3		7.9		0.3	
	0.005	0.33	37.5		0.2		7.9			
Total HxCDDs	0.04	1	<i>75</i>	3			0.7			
2378 HxCDDs	0.04	o.3	75	3	0.9		9.7	0.4		
other HxCDDs	0.0004	0.7	75 75				9.7		0.1	
	0.0004	0.7	75				9.7			
Total HpCDDs	0.001	1	41.9				0.1			
2378 HpCDDs	0.001	0. 5	41.9				9.1			
other HpCDDs	0.00001	0.5	41.9				9.1			
	0.00007	0.5	41.9				9.1			
Total TCDFs	0.1	1	8.2	0.0						
2378 TCDFs	0.1	0.03		0.8			4.4	0.4		
other TCDFs	0.001		8.2				4.4			
J , J.D. 3	0.001	0.97	8.2				4.4			
Total PeCDFs	0.1	1	10.0	2.0						
2378 PeCDFs	0.1	•	19.8	2.0			21.0	2.1		
other PeCDFs	0.1 0.001	0.07	19.8		0.1		21.0		0.1	
ouier recors	0.001	0.93	19.8				21.0			

		Propn.	Sample 3				Sample 4			
			CDD/F	CDD eqts. (ppb)		CDD/F	TCDD eqts. (ppb)			
			conc. (ppb)	Ac	B ^c	Dc	conc. (ppb)	Ac	Bc	Dc
Isomer	TEF	factor					21.6	0.2		
Total HxCDFs 2378 HxCDFs	0.01 0.01 0.0001	1 0.25 0.75	38.7 38.7 38.7	0.4	0.1 		21.6 21.6		0.1	
other HxCDFs Total HpCDFs 2378 HpCDFs	0.001 0.001	1 0.50 0.50	20.6 20.6 20.6		 		16.6 16.6 16.6			
other HpCDFs	0.00001	0.50		38	2			9	0.7	
Total estimated TCD	D equivalents (TE	F)		00						2
Measured TCDD E AHH bioassay FROD bioassay	quivalents					4 5 65			. •	2 11
Receptor binding Acute toxicity bio	assay assay						16-00	lyeac: co	a Table 4).	

^{*}Des Rosiers, 1984, assuming only homologue-specific concentrations are known (for isomer-specific analyses; see Table 4).

consideration, assuming lifetime exposure: for a person living downwind from the facility emitting the mixture under limit of the excess risk of developing cancer (from inhalation exposure alone)

upper 95% limit of excess cancer risk resulting from inhalation exposure = [potency] × [exposure] [1.6 × 10⁵ per mg 2,3,7,8-TCDD/kg-day] × [32 pg TCDD/mg mixture × 10⁻⁹ mg 2,3,7,8-TCDD/pg × 1 ng mixture/kg-day × 10⁻⁶ mg mixture/ng mixture].

B = estimated assuming occurrence of all isomers in a homologous group is equally probable (thus using the proportionality factor ^cA = estimated assuming 2378-isomers constitute 100% of a homologous group. in column three).

C = estimated by utilizing isomer-specific analyses (see Table 4).

D = estimated by direct bioassay.

dValues rounding off to less than 0.1 are omitted.

VI. Comparison of the TEF Approach with Results of Biological Testing

A limited number of *in vivo* and *in vitro* approaches have been employed assessing the toxicity of complex mixtures of CDDs and CDFs. While the rults from these attempts are not definitive, it is instructive to compare use results with the results from the TEF approach proposed here.

Eadon et al. (1982) investigated the toxicity of CDD/CDF-contaminated at associated with a fire involving PCB-containing electrical equipment, ing the results from acute *in vivo* toxicity (LD $_{50}$) studies in which the soot is the test substance, the researchers determined that it had the acute icity expected of material containing about 60 times the amount of 2,3,7,8-DD actually found by GC/MS analysis.

Table 5 illustrates the results of employing the TEF approach through three ferent procedures, each of which depends upon the results of GC/MS alysis of the soot. In the first instance (A, in Table 5), the analytical data we been consolidated to totals within a homologous class. These accentrations are treated as if they consisted completely of 2378-members the class and, therefore, are multiplied by the TEF appropriate for the 78-members of the class. The resulting estimate of 2378-TCDD equivalents this procedure is about 80.

n procedure B the assumption is made that the occurrence of each of congeners in a homologous class is equally probable; e.g., the incentration of 2,3,7,8-TCDD is 1/22 (about 5%) of the concentration of total TCDDs. This approach leads to an estimate of the total 2378-TCDD livalents of 8.

A rather unique data base exists in the case of the soot from this fire that an extensive isomer-specific analysis of the sample is available (as ad in Des Rosiers, 1984). Therefore, the full array of TEFs from Table using the current EPA recommendations) can be applied. This procedure in Table 5) results in an estimate of roughly 50 for the total 2378-TCDD givalents in the sample.

As might be expected, the most conservative of these procedures, A, leads the highest estimate. Approach B (using theoretical probability of currence) leads to an estimate that is about 10-fold lower than the isomer-scific results C, relfecting the fact that the 2378-congeners are present somewhat higher than "equal probability" proportions in this particular at sample. Given the complexity of the analysis involved, the approximate cure of the TEF method, and the vagaries of the assay, a major feature note in Table 5 regarding the soot samples is that the results of procedures B, and C span a range of only one order of magnitude and bracket the assay estimate, reported by Eadon et al. (1982).

Table 5 also shows the results of the application of approaches A and o published results of homologue-specific CDD and CDF concentrations fly ash from four municipal solid waste combustors (Sawyer et al., 1983). addition, extracts from the fly ash samples were analyzed by three bioassay hniques (AHH induction, EROD induction, and receptor binding). Again, a calculated results span an order of magnitude, with the bioassay results ag within or close to this range.

These data suggest that the TEF approach is likely to be a useful interimal for the rough (order of magnitude) estimation of the toxicity of complex xtures of CDDs and CDFs. The availability of additional data comparing

the results of analytical and biological assays will enable a conclusion regarding the preferred method of estimating TEFs (e.g., method A or B of Table 5).

VII. Research Needs

The Forum recommends that the Agency support research that would allow actual measurement of mixtures containing CDDs and CDFs, rather than drawing inferences from component toxicity. The results of this research could reduce the need for the TEF approach. In addition, research should be conducted in order to provide a firmer basis for, and to guide appropriate modification of, the TEF approach. Several areas of research are appropriate for these purposes.

1. Validation and completion of the in vitro test data such as those listed

2. Investigation of the relationships between short-termin vivo and in vitro tests and the toxic end points of concern; i.e., carcinogenicity, reproductive toxicity, immunotoxicity, and other singificant human health effects resulting from CDD/CDF exposure.

3. Determination of the impact of pharmacodynamics, including bioavailability, potential for absorption, and toxic potencies of metabolites of CDDs and CDFs in in vitro tests, relative to the potencies of the parent compounds. As pointed out by several reviewers, this would enable refinement of the TEF approach.

4. Investigation of additional short-term assays which can test the mechanistic hypotheses underlying the TEF approach.

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